

**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

CHICAGO MERCANTILE EXCHANGE INC.,)	Case No. 18-cv-01376
Plaintiff,)	Hon. Matthew F. Kennelly
v.)	Magistrate Hon. M. David Weisman
ICE CLEAR US, INC.; ICE CLEAR EUROPE LIMITED; AND INTERCONTINENTAL EXCHANGE, INC.,)	
Defendants.)	

CME'S STATUS REPORT ON TRIAL WITNESSES

Pursuant to the Court's Order during today's status hearing (ECF 310), Plaintiff Chicago Mercantile Exchange Inc. ("CME") submits this report reflecting the witnesses that CME will call at trial and their geographic locations.

At the outset, CME appreciates the Court's guidance and consideration on issues related to the upcoming trial. Based on the discussions during today's Court hearing, CME requests the right to brief the question of whether a claim for disgorgement of profits involves the right to a jury trial or whether all issues relating to disgorgement are solely for the Court. CME agrees to submit this brief by 4pm on Monday, August 10. This decision will assist CME in considering whether or not it will waive the right to a jury trial and proceed with a bench trial on all issues. ICE has already represented in the

Parties' Joint Status Report (ECF 307) that they will waive the right to a jury and intend to proceed with a bench trial on their breach of contract counterclaim.

To comply with the Court's order, CME provides information below for two scenarios: (1) separate jury and bench trials, with rosters of witnesses and their locations; and (2) a single bench trial, with a single roster of witnesses if CME were to elect have all issues tried in a single bench trial.

Finally, CME believes that with either a jury or bench trial there is the possibility to further streamline some factual issues by virtue of stipulations of fact that could eliminate or narrow the scope of some witness testimony.

I. Scenario #1: Separate Jury & Bench Trials

A. Witnesses for Jury Trial (CME's Counterfeiting Claim and Claim for Statutory Damages and Parties' Breach of Contract claims)

CME identifies the following individuals that it will call as part of its case-in-chief during a jury trial on the issues discussed during today's status hearing. As CME cannot entirely predict all witnesses that ICE may intend to call in its case in chief, CME must reserve the right to call additional witnesses in rebuttal if that becomes necessary.

1. Live In-Person

CME Case-in-chief Witness	Location
Corey Farabi	Missouri
David Marshall (expert)	Chicago, IL
Luis Moreau	Chicago, IL
Gregory Skony	Chicago, IL
Suzanne Sprague	Chicago, IL
Kim Schenk (expert) ¹	Chicago, IL
Adam Warren (expert)	Chicago, IL

¹ Testimony limited to information related counterfeiting statutory damages some of which may be unnecessary depending on stipulations and Ms. Schenk will likely be a rebuttal witness to ICE's claim for breach of contract damages.

2. Deposition Designation

CME Case-in-chief Witness	Location
Mark Woodward	London, UK
Joseph Albert	Atlanta, GA
Hester Serafini	New York
Kelly Loeffler	Atlanta, GA
Jeffrey Amato	Atlanta, GA
Michael Gibson	UK
Paul Philips	London, UK
Scott Hill	Atlanta, GA

B. Witnesses for Bench Trial (Disgorgement of Profits for Trademark Infringement/Counterfeiting Claims and ICE's Implied License Equitable Defense)

CME notes that there is some overlap between the factual issues relating to counterfeiting and the factual issues relating to relief for trademark infringement claims. CME will defer to the Court if it believes that there is a way to avoid duplication of witnesses while still preserving the record on the separate issue of infringement.

a. Live In-Person

CME Case-in-chief Witness	Location
Dhiraj Bawadhankar	Chicago, IL
Stephen Ishmael	Chicago, IL
David Marshall (expert)	Chicago, IL
Luis Moreau	Chicago, IL
Kim Schenk (expert)	Chicago, IL
Gregory Skony	Chicago, IL
Suzanne Sprague	Chicago, IL

b. Deposition Designation

CME Case-in-chief Witness	Location
Bruce Domash	Chicago, IL
Johnathan Short	Atlanta, GA
Mark Woodward	London, UK
Joseph Albert	Atlanta, GA
Hester Serafini	New York

Kelly Loeffler	Atlanta, GA
Jeffrey Amato	Atlanta, GA
Michael Gibson	UK
Paul Philips	London, UK
Scott Hill	Atlanta, GA
Ben Jackson	Georgia or Connecticut

II. Scenario #2: Single Bench Trial on All Issues

1. CME's List of Trial Witnesses

CME identifies the following individuals that it will call at a single bench trial on all issues (whether live in-person or via deposition testimony):

CME Case-in-chief Witness	Location
Dhiraj Bawadhanakar	Chicago, IL
Stephen Ishmael	Chicago, IL
Luis Moreau	Chicago, IL
Corey Farabi	Missouri
David Marshall (expert)	Chicago, IL
Kim Schenk (expert)	Chicago, IL
Gregory Skony	Chicago, IL
Suzanne Sprague	Chicago, IL
Adam Warren (expert)	Chicago, IL
Bruce Domash (deposition)	Chicago, IL
Johnathan Short (deposition)	Atlanta, GA
Scott Hill (deposition)	Atlanta, GA
Ben Jackson (deposition)	Atlanta, Georgia or Connecticut
Mark Woodward (deposition)	London, UK
Joseph Albert (deposition)	Atlanta, GA
Hester Serafini (deposition)	New York
Jeffrey Amato (deposition)	Atlanta, GA
Kelly Loeffler (deposition)	Atlanta, GA
Paul Philips (deposition)	London, UK
Michael Gibson (deposition)	UK

CME looks forward to discussing these issues with the Court during the next scheduled status hearing.

Respectfully submitted this 6th day of August 2020,

*Attorneys for Plaintiff Chicago Mercantile
Exchange Inc.*

By: /s/ Matt Witsman

Joseph V. Norvell (Reg. No. 6225747)
Joseph T. Kucala, Jr. (Reg. No.
6275312)
Tom M. Monagan (Reg. No. 6278060)
Matthew D. Witsman (Reg. No.
6327790)

NORVELL IP LLC
333 South Wabash, Suite 2700
Chicago, Illinois 60604
Phone: (888) 315-0732
Fax: (312) 268-5063
courts@norvellip.com

Of counsel:

Matthew J. Kelly (Reg. No. 6270056)
CHICAGO MERCANTILE EXCHANGE
INC.
20 S. Wacker Drive
Chicago, Illinois 60606
Telephone: (312) 930-3046
Matthew.kelly@cmegroup.com

Attorneys for Plaintiff
Chicago Mercantile Exchange Inc.

CERTIFICATE OF SERVICE

I hereby certify that a true and correct copy of the foregoing CME'S STATUS REPORT ON TRIAL WITNESSES was electronically filed on August 6, 2020 and served upon Defendants ICE Clear US, Inc. and ICE Clear Europe Limited's counsel via the Court's electronic filing system to:

Gina L. Durham
Michael Geller
DLA Piper LLP (US)
444 West Lake Street, Suite 900
Chicago, IL 60606-0089
gina.durham@dlapiper.com
Michael.geller@dlapiper.com

Marc E Miller
DLA Piper, LLP US
1251 Avenue of the Americas
24th Floor
New York, New York 10020
Marc.Miller@dlapiper.com

Paul A. Taufer
Michael Burns IV
DLA Piper, LLP US
One Liberty Place, 1650 Market Street
Suite 4900
Philadelphia, PA 19103
paul.taufer@dlapiper.com
michael.burns@dlapiper.com

Dated: August 6, 2020

By: /s/Matthew D. Witsman